Table of Contents

1.	Spe	ecification: Authentication Device	3
	1.1. F	ingerprint Scanner (FPS)	3
	1.2. IF	RIS Scanner	5
	1.2.1.	Revised Iris sensor Specifications	5
	1.2.2. param	Non-Optical Parameters, Environmental Test Specifications andother neters for Discrete Iris AuthenticationDevices	6
	1.2.3.	Other Parameters:	6
	1.2.4.	Usability and ergonomics	7
	1.2.5. Authe	Non-Optical parameters, Environmental Test Specifications for Integrate enticationDevices	
	1.3. R	Registered Device Service	10
	1.3.1.	L0 Devices	10
	1.3.2.	L1 Devices	10
2.	Spe	ecification: Pre-Certified Hardware (PCH)	10
3.	Spe	ecification: Enrolment Device	10
	3.1.	Fingerprint Scanner (FPS)	10
	3.2.	IRIS Scanner	11
4.	Spe	ecification: OR Code Scanner	13

<u>UIDAI Specifications</u>

1. Specification: Authentication Device

1.1. Fingerprint Scanner (FPS)

Sr. No.	Parameters	Specification
01	Minimum Platen Area	Optical/ Multispectral / Capacitance technology
	71104	1. If platen area is 15.24 mm x 20.32 mm ormore:
		1.1. Provisional certificate would be issued without any fieldtesting;
		1.2. Final certification would be subject to sensor-extractor meeting <2% FRR in Aadhaar authentication system (at FAR of 0.01%) for which detailed guidelines will be published bySTQC.
		2. If platen area is 12.8 mm x 16.5 mm but less than 15.24 mm x 20.32 mm,
		Certification would be subject to sensor-extractor meeting <2% FRR in Aadhaar authentication system (at FAR of 0.01%) for which detailed guidelines will be published bySTQC.
		3. Any other Technologies
		<2% FRR in Aadhaar authentication set up (at FAR of 0.01%) would need to be demonstrated. Detailed guidelines and other requirements specific to the technology will published separately bySTQC.
02	Image quality	Must be listed on "IAFIS Certified Product List" posted onhttps://www.fbibiospecs.org/IAFIS/Default.aspx under "PIV Single Finger Capture Devices"
		OR
		Lab Test conformance report showing compliance to ISO 19794- 4 Annexure A
		OR
		any other equivalent conformance report (to be approved for equivalence by expert committee appointed by Competent Authority
03	Extractor Quality	MINEXcompliance
		 Number of Minutiae generated by extractor to be in conformance to ISO Specification. Tested for at least 12 Minutiae points generated under testconditions.

	NFIQ Quality Software	Inbuilt NFIQ quality software either at device level or extractor level.
05	Resolution	Minimum 500 DPI with 5% margin on the lower side
06	Grey scale/ Image type	8 bit, 256 levels
07	Extractor & Image Template Standard	ISO 19794-2 for fingerprint minutiae template and ISO 19794-4 for Fingerprint Image Template
08	Maximum Acquisition time(Placement to Template)	< 2 secs
09	Audio/Visual indication	A/V indication either at device level or at application level for indicating various events like:
		a) Indication for placing finger
		b) Start of capturing
		c) End of capturing
10	Liveness Detection	Preferable
11	Latent detection	Preferable
12	Platen	Rugged, minimum IP 54 rating preferable
		Prefer scratch resistant features
13	Preferred Operating Temperature	0 to 45 degrees Centigrade
14	Preferred Storage Temperature	0 to 50 degrees Centigrade
15	Preferred Humidity	10 to 90%
16	ESD	>= 8Kv
17	Environment, health and safety	ROHS certification
18	Safety	UL or IEC60950 compliance

19	EMC compliance	FCC class A or equivalent
	Operating system environment	Vendor needs to declare the compatible operating system
21	Connectivity	 Standard USB connectivity for PC based application. Connectivity for POS devices.

Note: These specifications are subject to change based on field findings.

1.2. IRIS Scanner

1.2.1. Revised Iris sensor Specifications-

The Iris sensor for discrete and integrated Authentication Devices should have following specifications: -

Category	Parameter	Revised Specification / Description
Optical and Imaging	Spatial Resolution	> 40% modulation transfer function (MTF) at 2line pair/mm
	Pixel Resolution	> 15 pixels/mm
	Image Margins	Left & right $\geq 0.6x$ iris radius; Top & bottom $\geq 0.2x$ iris radius
	Imaging Wavelength	Approximately 700-900 nm (near-infrared)
	Pixel Depth	Minimum 8 bits per pixel (256 grey levels)
	Sensor Signal to Noise Ratio	Noise imperceptible in captured images
	Scan Type	Progressive scanning
	Output Image Format	IMAGE_TYPE_CROPPED_AND_MASKED with JPEG2000 compression, ISO/IEC 19794-6:2011 compliant
		IMAGE_TYPE_VGA with JPEG compression >30 kb
		IIR (K1)
	Contrast	Good grey level separation between iris, sclera, and pupil; sufficient contrast to reveal iris texture
	Optical Distortion	Minimal spherical aberration, chromatic aberration, astigmatism, coma
	Noise	No image resizing or manipulation except recommended JPEG2000
	Capture Mode	Fully automatic capture with real-time built-in quality checks
	Capture Time	< 3 seconds (improved from current < 5 seconds)
	Capture Distance	≥ 150 mm
	Optical Safety	Exempt Group per IEC 62471
	Operational Performance	FRR<1% at FAR of 1 in 1,00,000 with images conforming to
		IMAGE_TYPE_CROPPED_AND_MASKED of size

<u>UIDAI Specifications</u>

		3.5 kb
		20 persons for Provisional certification and 5000 for
		final certification
Environmental & Durability	Operating Temperature	0 to 50°C (IEC 68-2-2)
	Storage Temperature	0 to 50°C
	Dry Heat Test as per 60068-2-2	Temp: 50deg ± 2 °C Recovery Period: 1 to 2 Hours
	Damp Heat Cyclic Test (First Cycle) as per 60068-2-30	Temp: 40 °C ± 2 °C Humidity (RH): 90% ± 2% Duration of Test: 1 cycle of 24Hrs (12h + 12h)
	Cold test as per 60068-2-1	Temp: 0 °C ± 2 °C Duration: 16 Hrs
	Damp heat Cyclic Test (Five Cycles) as per 60068-2-30	Temp: 40 °C ± 2 °C Humidity (RH): 90% ± 2% Duration of test: 5 cycles of 24 Hrs each (12h + 12h)
	Durability Test(IP 54) as per IEC 60529	Dust Test Duration: 8 Hrs Recovery Period: 1 to 2 Hrs
	Drop test as per 60068-2-31	No. of drops: Six drops (one drop on each face) Height of fall: 1000 mm unpacked. Withstand 1-meter drops on all faces
	Vibration Test as per IEC60068-2-6	Frequency: 10 150 Hz 0.15mm or 2.0g No. of Sweeps: 10 in each axis
	Humidity	10% to 90% non-condensing
	IP Rating	Minimum IP65 dust and water splash resistance
Occupational Health & Safety	Optical Safety Compliance	IEC 62471 Exempt Group, IEC 60950 & BIS 13252-1
	Material Compliance	RoHS and REACH compliant
	User Comfort	Ergonomic design minimizing strain, accommodating disabilities
	E- Waste management	Compliance
Electro-Magnetic Compatibility	Electro-Magnetic Compatibility	FCC Class B or equivalent
	ESD (Electro Static Discharge) Test as per IEC61000-4-2	±8 kV air discharge, ±4 kV contact discharge (IEC61000-4-2)
	Radiated Emission	FC part 15B/IEC-CISPR 22 CLASS B standard
	Radiated Immunity	As per IEC/EN 61000-4-3:2006+A2:2011
Usability and Ergonomics	Capture Aid (Resident)	Visual aids (LED indicators, LCD display), optional eye cup/guard (post-pandemic safety compliant), audio feedback
	Actionable Feedback (Operator)	Visual (viewfinder, LEDs, LCD), audio cues for alignment and capture guidance
	Informative Feedback (Resident)	Visual (LED on/off), audio/tactile (beep, vibration) indicating capture progress and completion
	Special Conditions Support	Supports users with squint eyes, droopy eyelids, blindness, lazy eyes

Security Features	Liveness Detection	Multi-modal liveness detection (infrared reflectance, pupil dynamics, anti-replay) ISO 30107	
Latent Iris Detection		Detect latent iris patterns to avoid false captures	
	Template Security	ISO 19794-6 compliant templates with embedded cryptographic signatures	
	Data Transmission Security	End-to-end encryption (AES-256 or higher)	
	Tamper Detection	Hardware-level tamper detection and alert system	
	Firmware Security	Secure boot and signed firmware updates	
Connectivity & Connectivity USB 2. Compatibility		USB 2.0 or higher;	
		optional Bluetooth LE, Wi-Fi with secure pairing	
	OS Support	Windows 10+, Linux kernel 5.x+, Android 10+, iOS15+, MacOS	
	Software API	Full UIDAI API compliance with secure authentication protocols	
Performance Metrics	False Rejection Rate (FRR)	< 1% at FAR of 1 in 1,00,000	
	Certification Testing	Provisional: 100 subjects; Final: 5,000 subjects	
	Capture Time	< 3 seconds	

1.2.2. Usability and ergonomics

Device usability and form factor have a significant impact on image quality and matching accuracy. Following Usability requirements shall be adhered:

Ease of Use

- It is easy and quick to position/align the resident's eye, within the capture volume of the device
- It encourages the resident to sufficiently open their eyes and look (gaze) in a specific direction
- It should quickly and automatically capture the irises
- It gracefully handles effects from the motion of the camera in respect to the eye (linear and angular)
- It should be easy to use by residents with special conditions such as squint eyes, blindness, droppy eyes, lazy eyes and other handicaps

Usability Design

The features of iris devices required in improving device usability in the Indian context are classified into three categories:

- Capture aid: this refers to all the assistance provided to the resident in encouraging correct and quick usage of the device
- Actionable feedback: this refers to all the feedback provided to the operator to enable the operator to take a physical or verbal action during the iris capture
- Informative feedback: This refers to all the feedback provided to resident about the capture process.

The device design shall incorporate these features.

Capture Aid (for resident)

At least one of the below capture aids to be provided to the resident for ease-of use:

• Physical (removed due to covid-19):

Physical aids can be provided to make it intuitive for the resident to align the iris camera to their eye(s). The resident can get tactile feedback and intuitively position the device correctly. The examples are eye cup, eye guard, goggles, etc. The physical structure can assist alignment in z and/or restrict the x and y alignment by utilizing position of the eyes and/ornose

• Visual:

Visual aid can be implemented in a number of ways, for example, by providing a viewfinder for the resident to look through, or look at the reflection of their own eyes in a mirror, or by changing colors of LEDs to convey some predetermined messages such as too far or too close, or a display such as LCD showing the resident and operator what to do for enabling quickcapture.

• Audio:

Audio instructions can be provided to the resident by the device or host to aid the alignment and capture. Due to large diversity of languages in India, this is not expected to be very effective, except in case of blind/handicap residents.

Actionable Feedback (for operator)

At least one of the below methods of actionable feedback be provided to the operator for ease-of-use:

Visual: visual feedback may be provided to the operator to take an action to assist the resident in iris capture.

- A viewfinder can be used by the operator to bring the iris camera to the eye level of the resident,
- LEDs of predetermined color and meaning can provide feedback to the operator if the resident is too far or too close to the iris capture device, or a display such as LCD can show in large icons or video.
- Note that it is better to have this feature on the device itself so that the feedback and the resident are both inthe line of sight of the operator and the operator does not have to look at visual feedback that is in a different direction than the resident. If a cell phone or tablet is used as the host device to the iris camera, the host display can be used for showing actionable feedback since the operator can hold the host in the hand and have it in the same line of sight as the resident.

Audio: audio can be used to provide actionable feedback to the operator. The operator then takes a physical action or provides a verbal instruction to the resident. The actionable feedback to include the following:

- How to correct alignment in x, y, and z
- Open eyes wider (in case of occlusion from eyelids)
- Look straight or look at "object" (in case of incorrect gaze); the object can be reflection of one's own eye, light source, or some other object
- Hold steady (in case of motion blur)
- Improve focus by moving closer or farther

Informative Feedback (for resident)

- Visual: LED/light is on when capturing and turn off when capture is finished; and/or
- **Audio or tactile**: a beep/click and/or vibration of the device can be used to indicate that capture is done.

The following informative feedback to the resident is required:

- Iris capture is in progress
- Capture complete

Actionable feedback streamlines the process, improves speed and avoids confusion.

Notes:

1. Per ISO/IEC 19794-6:2011, Annex B.1 measured by MTF using a sinewave target. In addition, upper limit of 1.05 on MTF is required at all frequencies to discourage

- image processing that produces excessive edge sharpening, which can add false details to an image. The output image of sine wave target shall not exhibit any significant amount of aliasing. Aliasing will be investigated by quantitative analysis and from visual observation of the softcopy-displayed image.
- 2. Per ISO/IEC 19794-6:2011, annex B.6, the image should have a dynamic range spanning 256 grey levels, allocating one byte (8 bits) per intensity value, and providing at least 7 bits of useful intensity information.

1.2.3. Non-Optical parameters, Environmental Test Specifications for Integrated Iris Authentication Devices

Sr.	Characteristics	Environment Test Specification (for mobile
No.		devices incorporating IRIS Devices)
01	Operating temperature	050 C (IEC 68-2-2)
02	Storage temperature	050 C (IEC 68-2-2)
03	Dry Heat Test as per IEC 60068-2-2	Temp: 50deg±2 C
		Recovery period: 1to 2 Hours
04	Damp Heat Cyclic Test (First Cycle) as	Temp: 40 C ± 2 C
	per IEC 60068-2-30	Humidity (RH): 90% ± 2%
		Duration of Test: 1 cycle of 24Hrs. (12h + 12h)
		Recovery Period: 1 to 2 hours
05	Cold test as per IEC 60068-2-1	Temp: $-10 \text{ C} \pm 2\text{ C}$
		Duration: 16 Hrs
		Recovery Period: 1 to 2 Hrs
06	Damp heat Cyclic Test (five Cycles) as	Temp: -10 C \pm 2 C Humidity (RH): 90% \pm 2%
	per IEC 60068-2-30	Duration of Test: 5 cycles of 24Hrs each(12h+12h)
		Recovery Period: 1 to 2 hours
07	Drop/Topple Test as per IEC 60068-2-31	One topples each on four bottom edges
		In unpacked condition
08	Vibration Test as per IEC 60068-2-6	Frequency: 10150 Hz, 0.15mm or 2.0g No. of
		Sweeps: 10 in each axis
		In packed condition

For API compliance, refer "Other Parameters" under Section 1.2.3. Other tests specified under "Other Parameters" are not applicable for Integrated Iris Devices as these are governed by the host device (Mobile / Tablet) and as such the measurement on IRIS authentication device is not possible in isolation. The "Usability and ergonomics" for the Integrated Iris Devices would be the same as specified in "Other Parameters" under Section 1.2.3.

1.3. Registered Device Service

1.3.1. L0 Devices

Aadhaar Registered Devices - Technical Specifications published by UIDAI https://uidai.gov.in/images/resource/Aadhaar Registered Devices 2 0 4.pdf

1.3.2. L1 Devices

Aadhaar Registered Devices - Technical Specifications published by UIDAI,

https://uidai.gov.in/images/resource/Aadhaar Registered Devices 2 0 4.pdf

L1 traceability matrix document

http://www.stqc.gov.in/sites/upload_files/stqc/files/L1%20traceability%20matrix%20document.pdf

2. Specification: Pre-Certified Hardware (PCH)

Aadhaar Registered Devices - Technical Specifications published by UIDAI

https://uidai.gov.in/images/resource/Aadhaar_Registered_Devices_2_0_4.pdf

L1 traceability matrix document

http://www.stqc.gov.in/sites/upload_files/stqc/files/L1%20traceability%20matrix%20document.pdf

3. Specification: Enrolment Device

3.1. Fingerprint Scanner (FPS)

Sr. No	Device Characteristics	Values
01	Capture mode	Plain live scan capture
02	Image Acquisition Requirements	Setting level 31 or higher (Section 9.1 of Biometric Design Standards for UIDApplications V1.0)
03	Image evaluation frame rate	> 3 frames/sec, continuous image capture
04	Capture mode	Auto capture with built-in quality check (incorporates NIST qualityconsiderations)
05	Capture area	> 76mm x 80mm
06	Connectivity ¹	USB 2, USB-IF certified
07	Power	Through USB
08	Dimension (W X H X D)	< 160mm x 160mm x 160mm
09	Weight	Maximum 2.5 Kg.
10	Operating temperature	0 – 50 C
11	Humidity	10 – 90% non-condensing

12	Durability/Shock	IP 54
13	Standards	UL certified (if applicable). Meets ISO 19794-4:2005 Section 7 and Annex A certification requirements (IAFIS Appendix F certified).
14	Software API	Compliant with UIDAI Device Capture API specification V1.0 RC 3

¹Total of only 1 USB port available for connectivity and power.

3.2. IRIS Scanner

Sr. No.	Device Characteristics	Stationary (mounted: wall, tripod or stand) ²	Hand-held ³	Hand-held with alignment aid ⁴
01	Iris Diameter (In pixel)		> 210	
02	Spatial Resolution Pixel Resolution		> 60% @ 4.0 Lp/mm > 16 Pixels/mm	1
03	# of simultaneous captured eyes ⁵		2	
04	Viewfinder	External	Internal	External or Internal
05	Capture distance	> 750 mm	> 50 mm	> 20 mm
06	Capture volume (width/height/depth)	> 250x500x500mm	> 20x15x12mm	> 20x15x12mm
07	Exposure time	< 15ms	< 33 ms	< 33 ms
08	Imaging wavelength		700-900 nm	
09	Spectral Spread	Power in any 100nm band > 35% of total power		
10	Scan type		Progressive	
11	Image margins	Left & right: 0.50x iris diameter, Top & bottom: 0.25x iris diameter		
12	Pixel depth		> 8 bits/pixel	
13	Image evaluation frame rate	> 5 frames	s/sec, continuous imag	ge capture
14	Capture mode	_	ure with built-in quales NIST quality cons	•
15	Sensor signal to noise ratio		> 36 DB	
16	Connectivity ⁶	USB 2, USB-IF certified Or Networked (TCP/IP)		SB 2, F certified
17	Power	U	SB or independent P	S
18	Weight	NA	< 1 kg	< 1 kg
19	Dimension	<300x100x300mm	< 220x200x100mm	< 220x200x100mm
20	Operating temperature		0-49C	

21	Humidity	10 – 90% non-condensing
22	Durability/Shock	IP54
23	Safety Standard	Exempt Group per IEC 62471:2006-07
24	Standards	FCC Class A, RoHS
25	Software API	Compliant with UIDAI Device Capture API specification V1.0 RC 3

²Stationary: Any capture process where the device is stationary and the subject is required to position and rest himself/herself.

³Hand-held: Operator operates and holds the camera and the subject is stationary.

⁴Alignment aid: Camera has mechanical fixture for alignment. Optical viewfinder is not considered alignment aid.

⁵Considered simultaneous if second eye is captured within 2 seconds of first eye done without moving the device.

⁶ Total of only 1 USB port will be available for connectivity and power.

4. Specification: QR Code Scanner

Sl.No.	Description	Specifications
01	Image Sensor (Pixels)	640 x 400 pixels
02	Symbologies	QR Code
03	Pitch/Skew	+/- 60°, +/- 60°
04	Scan Angle	Roll 0-360°
05	Min. Symbol Contrast	<= 25%
06	Interface	USB
07	Dimensions	Ergonomically designed product
08	Weight	<250g
09	Indication	Buzzer, Indicator light LED
10	Operating Power	must be as per USB 2.0/3.0 standard requirement
11	Input Voltage	must be as per USB 2.0/3.0 standard requirement
12	Motion tolerance	Up to 5 in.(13 cm) per second for 13 mil UPC
13	Light Source	LED 350-770 nm
14	Operating Temperature	0°C to 50°C
15	Storage Temperature	-20° to 70° C
16	Humidity	5% to 95% non-condensing
17	ESD	+/- 4 kV contact discharge; +/- 8 kV air discharge
18	DROP	1m, Unpacked Condition, As per 60068-2-31
19	Environment Sealing	IP42, as per IEC 60529
20	Decode Range	1" to 10" for 20 mil QR Code
21	Service Center	Should Be Available in India
22	Safety Standards like LED safety	IEC 62471:2006 IEC 60950-1:2005 + A1 + A2 (optional) IS 13252(PART 1):2010

14