**Unique Identification Authority Of India**
Government of India

# Eligibility criteria for appointment as requesting entities

## 17 December, 2016

# Schedule A

# Eligibility criteria for appointment as requesting entities

**1.** Entities seeking to use authentication facility provided by the Authority as requesting entities are classified under following categories for appointment as Authentication User Agency (AUA) and/or e-KYC User Agency (KUA), as the case may be:

| S. No. | Organisation Category |
|---|---|
| **Category 1** | **Government Organisation** |
| 1.1 | A Central/ State Government Ministry/Department and their attached or sub-ordinate offices. |
| 1.2 | An undertaking owned and managed by Central / State Government (PSU) |
| 1.3 | An Authority constituted under the Central / State Act/Special Purpose Organisation constituted by Central/State govt. |
| **Category 2** | **Regulated Service Providers** |
| 2.1 | Regulated / Licensed by RBI – Banks and Payment & Settlement System |
| | 2.1.1  Public Sector Banks (PSB) |
| | 2.1.2  Private Banks, Foreign Banks Licensed by RBI to operate in India, Payment Banks, Small Finance Banks |
| | 2.1.3  Regional Rural Banks |
| | 2.1.4  Co-operative Banks<br>    1.  State Co-operative Banks<br>    2.  District Co-operative Banks<br>    3.  Scheduled Urban Cop-operatives Banks<br>    4.  Non Scheduled Urban Co-operative Banks |
| | 2.1.5  Payment& Settlement System Network<br>    1.  Financial market infrastructure<br>    2.  Retails payments Organisation<br>    3.  Cards payment network<br>    4.  ATM networks<br>    5.  Pre-paid payment instruments<br>    6.  White label ATM operators<br>    7.  Instant Money Transfer |
| | 2.1.6 Non-Banking Financial Company |
| 2.2 | Regulated by IRDA/PFRDA - Financial Institutions |
| 2.3 | Regulated by TRAI – Telecom |
| 2.4 | Regulated by CCA – Certifying Authority, Digital Locker providers, e-Sign providers |
| 2.5 | Regulated by SEBI – KYC Registration Agency (KRA),Depository Participant (DP), Asset Management Company (AMC), Trading Exchanges, Registrar and Transfer Agents |
| 2.6 | Regulated by National Housing Bank |
| 2.7 | Regulated by DGCA/AAI(AAI Act)- Duly licensed-<br>1. Airport operators having scheduled civil aviation operations, and<br>2. Scheduled Airline operators. |

| S. No. | Organisation Category |
|---|---|
| **Category 3** | **Other Entities** |
| 3.1 | 3.1.1 Company registered in India under the Companies Act 1956 / The companies Act 2013 (Company under group of companies has to apply individually) |
| | 3.1.2 Partnership registered under the India Partnership Act 1932 or under the Limited Liability Partnership Act, 2008 |
| | 3.1.3 Proprietorship firm |
| | 3.1.4 Not-for-profit Organisations (under section 25 under The Companies Act 1956) |
| | 3.1.5 Academic Institutions / Research and Development Organisations |
| | 3.1.6 Societies registered under Indian Societies Registration Act, 1860or The Indian Trust Act, 1882 or The companies Act, 2013 (Sec 8) / Co-operative Society Act 1912 |
| | 3.1.7 Any entity other than above mentioned categories |

2. Technical and Financial criteria for entities for appointment as requesting entity are as under:-

| S. No | Authentication User Agency (AUA) | | Additional requirements for eKYC |
|---|---|---|---|
| | **Technical Requirements** | **Financial Requirements** | User Agency (KUA) |
| **Category 1** | 1. Backend infrastructure, such as servers, databases | No financial requirement | No additional requirement for KUA |
| **Category 2** | etc. of the entity, required specifically for the purpose of Aadhaar authentication, should be located within the territory of India.<br><br>2. Entity should have IT Infrastructure owned or outsourced capable of carrying out minimum 1 Lakh Authentication transactions per month.<br><br>3. Organisation should have a prescribed Data Privacy policy to protect beneficiary privacy.<br><br>4. Organisation should have adopted data security requirements as per the IT Act 2000 | No financial requirement | No additional requirement for KUA |
| **Category 3** | 1. Backend infrastructure, such as servers, | 1. Paid up capital of Minimum₹1 (one) Crore. | Entity should meet Authentication Transaction Criteria as |

| | | |
|---|---|---|
| | databases etc. of the entity, required specifically for the purpose of Aadhaar authentication, should be located with in the territory of India. | OR Annual turnover of Minimum₹5 (Five) Crore during the last Financial year. | laid down by the Authority from time to time. |
| | 2. Entity should have IT Infrastructure owned or outsourced capable of carrying out minimum 1 Lakh Authentication transaction per month. | | |
| | 3. Organisation should have a prescribed Data Privacy policy to protect beneficiary privacy. | | |
| | 4. Organisation should have adopted Data security requirements as per the IT Act2000. | | |
| | 5. Entity should be in business for minimum of 1 year from date of commencement of Business. | | |